

No.	Act/Regulation	Position	Required competency	Required from
6	Act – section 109(2)	Industry Safety and Health Representative	RIIRIS402E (previously RIIRIS402D, RIIRIS402A, MNCG1002B, MNCG1002A); and <ul style="list-style-type: none"> • Deputy's, First Class Mine Manager's or Second Class Mine Manager's Certificate of Competency granted under the <i>Coal Mining Act 1925</i>; or • Deputy's, First Class Mine Manager's or Second Class Mine Manager's Certificate of Competency granted under the <i>Coal Mining Safety and Health Act 1999</i> 	01/12/2002
7	Act – section 93(3)	Site Safety and Health Representative	RIIRIS301E (previously RIIRIS301D, RIIRIS301B, RIIRIS301A, MNCG1001A or QMS1), and RIIWHS301E (previously RIIWHS301D, RIIOHS301A, MNCG1008A or QMS2), and RIICOM301E (previously RIICOM301D, RIICOM301B, RIICOM301A, MNCG1009A or QMS3)	01/07/2002
8	Act – section 54(1)	Site Senior Executive	<p>At an underground coal mine</p> <ul style="list-style-type: none"> • 5 years' experience at a coal mine in operational or technical services activities that is mining, mechanical, electrical or safety and health activities involved in underground coal mining, of which 2 years have been in a supervisory role and • 2 years of supervisory experience involved in the direct supervision of coal mine workers at a coal mine who undertake the mining, mechanical, electrical or safety and health work involved in the extraction of coal underground <p>and</p> <ul style="list-style-type: none"> • Passed a statutory legislation exam administered by the Board of Examiners <p>and</p> <ul style="list-style-type: none"> • RIIRIS601E (or equivalent) or MINE7033 or GMIRM. <p>In addition, the Site Senior Executive, or a person named in a senior position under s55 of the <i>Coal Mining Safety and Health Act 1999</i>, must obtain the competency unit RIIWHS601E (or equivalent) or MINE7041.</p> <p>At a surface coal mine</p> <ul style="list-style-type: none"> • 5 years' experience at a coal mine in operational or technical services activities that is mining, mechanical, electrical, processing or safety and health activities involved in coal mining, of which at least 2 years have been in a supervisory role and • 2 years of supervisory experience involved in the direct supervision of coal mine workers at a coal mine who undertake the mining, mechanical, electrical, processing or safety and health work involved in the extraction of coal, removal of overburden or exploration at a mine. <p>and</p> <ul style="list-style-type: none"> • Passed a statutory legislation exam administered by the Board of Examiners. <p>and</p> <ul style="list-style-type: none"> • RIIRIS601E (or equivalent) or MINE7033 or GMIRM. <p>In addition, the Site Senior Executive, or a person named in a senior position under s55 of the <i>Coal Mining Safety and Health Act 1999</i>, must obtain the competency unit RIIWHS601E (or equivalent) or MINE7041.</p>	13/03/2023

No.	Act/Regulation	Position	Required competency	Required from
9	Act – section 60(10)	Underground Electrical Engineering Manager	<p>RIIRIS402E (previously RIIRIS402D, RIIRIS402A, MNCG1002B, MNCG1002A); and</p> <ul style="list-style-type: none"> • Mine Electrician Certificate (All Coal Mines or Underground Coal Mines)¹ granted under the <i>Coal Mining Act 1925</i>; and possession of the following units², with endorsements, where applicable, relevant to the explosion protection techniques at the coal mine – <ul style="list-style-type: none"> UEENEEM080A³ (previously UEENEEM001B, UTENES010A), UEEHA0004⁴ (previously UEENEEM019A, UEENEEM002B, UTENES012A), UEEHA0005⁴ (previously UEENEEM023A, UEENEEM004B, UTENES107A), UEEHA0006⁴ (previously UEENEEM027A, UEENEEM006B, UTENES214A), UEEHA0011⁴ & UEEHA0012⁴ & UEEHA0013⁴ & UEEHA0014⁴ (previously UEENEEM031A, UEENEEM007B, UTENES215A), UEENEEM035A³ (previously UEENEEM008B, UTENES407A), UEENEEM038A³ (previously, UEENEEM009B, UTENES408A), UEEHA0002⁴ (previously UEENEEM042A, UEENEEM010B, UTENES409A), UEEHA0001⁴ (previously UEENEEM043A, UEENEEM011B, UTENES410A), UEEHA0009⁴ (previously UEENEEM047A, UEENEEM012B, UTENES609A), UEENEEM078A³ (previously UEENEEM013B, UTENES610A), UEEHA0007⁴ (previously UEENEEM074A, UEENEEM016B, UTENES707A), UEEHA0003⁴ or • Possession of the following units², with endorsements, where applicable, relevant to the explosion protection techniques at the coal mine – <ul style="list-style-type: none"> UEECD0029 (previously UEENEEE013B UTENES602BA), UEECD0013 (previously UEENEEE110A, UEENEEE010B, UTENES603BA), UEEEL0015 (previously UEENEEG169A, UEENEEG069B, UTENES606BA), RIIRAI609D (previously RIIRAI609A, MNCG1090A), UEENEEM080A³ (previously UEENEEM001B, UTENES010A), UEEHA0004⁴ (previously UEENEEM019A, UEENEEM002B, UTENES012A), UEEHA0005⁴ (previously UEENEEM023A, UEENEEM004B, UTENES107A), UEEHA0006⁴ (previously UEENEEM027A, UEENEEM006B, UTENES214A), UEEHA0011⁴ & UEEHA0012⁴ & UEEHA0013⁴ & UEEHA0014⁴ (previously UEENEEM031A, UEENEEM007B, UTENES215A), UEENEEM035A³ (previously UEENEEM008B, UTENES407A), UEENEEM038A³ (previously, UEENEEM009B, UTENES408A), UEEHA0002⁴ (previously UEENEEM042A, UEENEEM010B, UTENES409A), UEEHA0001⁴ (previously UEENEEM043A, UEENEEM011B, UTENES410A), UEEHA0009⁴ (previously UEENEEM047A, UEENEEM012B, UTENES609A), UEENEEM078A³ (previously UEENEEM013B, UTENES610A), UEEHA0007⁴ (previously UEENEEM074A, UEENEEM016B, UTENES707A), UEEHA0003⁴ or • Possession of equivalent electrical qualifications <p>Note 1 A NSW Certificate of Competency as a Mine Electrical Engineer is also acceptable.</p> <p>Note 2 Some of these units form part of the Diploma in Mine Electrical Engineering (RII) and the Advanced Diploma of Electrical Engineering – Coal Mining (UEE).</p> <p>Note 3 Not a requirement for competencies achieved post 5th October 2022. Also not required if all hazardous area competency units have been completed under UEE42620 during the transition period to 5th October 2022.</p> <p>Note 4 Required for competencies achieved post 5th October 2022. Also a requirement if competency units have been completed under UEE42620 during the transition period to 5th October 2022. Not a requirement for competencies achieved prior to UEE42620.</p>	29/07/2005

No.	Act/Regulation	Position	Required competency	Required from
10	Regulation – section 17	Surface Electrical Engineering Manager	<p>RIIRIS301E (previously RIIRIS301D, RIIRIS301B, RIIRIS301A, MNCG1001A or QMS1); and</p> <ul style="list-style-type: none"> • Mine Electrician Certificate (All Coal Mines or Open-cut Coal Mines)¹ granted under the <i>Coal Mining Act 1925</i>; or • A Degree in Electrical Engineering, and possession of the following units² – UEECD0029 (previously UEENEEE013B, UTENES602BA), UEECD0013 (previously UEENEEE110A, UEENEEE010B, UTENES603BA), UEEEL0015 (previously UEENEEG169A, UEENEEG069B, UTENES606BA), RIIRAI609D (previously RIIRAI609A, MNCG1090A), or • A Diploma in Electrical Engineering and possession of the following units²– UEECD0029 (previously UEENEEE013B, UTENES602BA), UEECD0013 (previously UEENEEE110A, UEENEEE010B, UTENES603BA), UEEEL0015 (previously UEENEEG169A, UEENEEG069B, UTENES606BA), RIIRAI609D (previously RIIRAI609A, MNCG1090A), or • An Electrical Worker's Licence and possession of the following units² – UEECD0029 (previously UEENEEE013B, UTENES602BA), UEECD0013 (previously UEENEEE110A, UEENEEE010B, UTENES603BA), UEEEL0015 (previously UEENEEG169A, UEENEEG069B, UTENES606BA), RIIRAI609D (previously RIIRAI609A, MNCG1090A), or • Possession of equivalent electrical qualifications <p>Note 1 A NSW Certificate of Competency as a Mine Electrical Engineer, or a NSW Certificate of Competency as a Mine Electrical Engineer for an Opencut Mine, is also acceptable.</p> <p>Note 2 These units form part of the Diploma of Mine Electrical Engineering (RII) and the Advanced Diploma of Electrical Engineering – Coal Mining (UEE)</p>	01/07/2002
11	Regulation – section 209	Underground Shotfirer	<ul style="list-style-type: none"> • Relevant Shotfirer's Licence issued under the <i>Explosives Act 1999</i>; or • Deputy's Certificate granted under the <i>Coal Mining Act 1925</i> free from an endorsement prohibiting shotfiring; or • RIIBLA302E (previously RIIBLA302D, RIIBLA302A, MNCU1048B, MNCU1048A or MNC.U48.A) 	01/01/2002
12	Regulation – section 209	Underground Assistant Shotfirer	<p>Possession of at least one of the following:</p> <ul style="list-style-type: none"> • Relevant Shotfirer's Licence issued under the <i>Explosives Act 1999</i>; or • Deputy's Certificate granted under the <i>Coal Mining Act 1925</i> free from an endorsement prohibiting shotfiring; or • RIIBLA302E; or • RIIBLA202F. 	01/01/2002
13	Regulation – section 155(1)	Underground Fire Officer	RIIERR201E (previously RIIERR201D, RIIERR201A, MNCG1005A or MNC.G5.A)	01/01/2003

No.	Act/Regulation	Position	Required competency	Required from
14	Act – section 61(2)	Underground Ventilation Officer	<p>If more than three years' experience working in ventilation:</p> <ul style="list-style-type: none"> The University of New South Wales Graduate Diploma of Mine Ventilation; or RIIUND603D; <p>and</p> <ul style="list-style-type: none"> RIIRIS402E. <p>If less than three years' experience working in ventilation:</p> <ul style="list-style-type: none"> The University of New South Wales Graduate Diploma of Mine Ventilation; or RIIUND603D, RIIUND601E, RIIMCU601E, RIIMCU602E, RIIMCU603D, and RIIMCU604D; <p>and</p> <ul style="list-style-type: none"> RIIRIS402E. 	1/07/2020
15	Regulation – section 371(sch7)	Persons undertaking electrical activities or electrical work	Appropriate electrical workers licence or competency required under the <i>Electrical Safety Act 2002</i> , for the activity or work undertaken.	
16	Act – section 67(6)	Certifying Mine Survey Plans Underground Plans only	A person holding a registration as a surveyor under the Surveyors Act 2003 with an endorsement of 'Mining (UC)'	
		Certifying Mine Survey Plans Surface Plans only	A person holding a registration as a surveyor under the Surveyors Act 2003 with an endorsement of 'Mining (O)'	
17	Regulation – section 371(sch7)	Opencut Shotfirer	<ul style="list-style-type: none"> Relevant shotfirer's licence issued under the <i>Explosives Act 1999</i>; or Open-cut Examiner's Certificate granted under the <i>Coal Mining Act 1925</i> free from an endorsement prohibiting shotfiring; or RIIBLA301E (previously RIIBLA301D, RIIBLA301A, MNCO1040B, MNCO1040A or MNC.O40.A) 	01/01/2002
18	Regulation – section 371(sch7)	Opencut Assistant Shotfirer	<ul style="list-style-type: none"> Relevant shotfirer's licence issued under the <i>Explosives Act 1999</i>; or Open-cut Examiner's Certificate granted under the <i>Coal Mining Act 1925</i> free from an endorsement prohibiting shotfiring; or RIIBLA301E (previously RIIBLA301D, RIIBLA301A, MNCO1040B, MNCO1040A or MNC.O40.A); or RIIBLA201E (previously RIIBLA201D, RIIBLA201A, MNCO1041B, MNCO1041A or MNC.O41.A) 	01/07/2002
19	Regulation – section 275	Person in charge of winders	<p>For a manually operated winder, slope haulage and hoist equipment, a machine and mode specific competency is required. It must meet the minimum requirements in RIIUND301E - Operate manual winder (or equivalent).</p> <p>The machine and mode specific competency package is to be audited by an RTO and content expert familiar with winder operations prior to its application.</p>	17/10/2016

No.	Act/Regulation	Position	Required competency	Required from
20	Regulation – section 82(2)(f)	Training Designers, Developers or Deliverers and persons managing and implementing mines training schemes	<ul style="list-style-type: none"> Certificate IV in Training and Assessment (TAE 40116) or possession of an equivalent or higher training qualification; <p>or</p> <ul style="list-style-type: none"> Possession of the relevant competencies from the TAE training package based on the duties being performed¹; <p>or if only delivering skill-based training (excluding design, developing, managing, or implementing)</p> <ul style="list-style-type: none"> 10898NAT course in field-based training and assessment (previously 10235NAT)². <p>Note 1 As a guide, please refer to schedule 1 of the Standards for Registered Training Organisations 2015.</p> <p>Note 2 After 30 November 2022, 10898NAT will no longer be considered a required competency unless only delivering skill-based training (excluding design, developing, managing or implementing).</p>	01/01/2018
21	Regulation – section 82(2)(f)	Training Assessors	<ul style="list-style-type: none"> Possession of the relevant competencies from TAE training package for training assessors¹; or 10898NAT course in field-based training and assessment (previously 10235NAT) <p>Note 1 As a guide, please refer to schedule 1 of the Standards for Registered Training Organisations 2015.</p>	16/03/2001
22	Regulation – section 371(sch7)	Underground Mechanical Engineer	RIIRIS402E (previously RIIRIS402D, RIIRIS402A, MNCG1002B, MNCG1002A)	01/12/2002
23	Regulation – Section 20(1)	Persons repairing, overhauling and maintaining electrical equipment for explosive atmospheres at a coal mine.	<p>UEENEEM080A¹ (previously UEENEEM001B, UTENES010A), UEEHA0004² (previously UEENEEM019A, UEENEEM002B, UTENES012A), UEEHA0005² (previously UEENEEM023A, UEENEEM004B, UTENES107A), UEEHA0006² (previously UEENEEM027A, UEENEEM006B, UTENES214A), UEENEEM038A¹ (previously UEENEEM009B, UTENES408A), UEEHA0002² (previously UEENEEM042A, UEENEEM010B, UTENES409A), UEEHA0001² (previously UEENEEM043A, UEENEEM011B, UTENES410A), UEEHA0003², UEEHA0007², with endorsements, where applicable, relevant to the explosion protection techniques at the coal mine.</p> <p>Note 1 Not a requirement for competencies achieved post 5th October 2022. Also not required if all hazardous area competency units have been completed under UEE42620 during the transition period to 5th October 2022.</p> <p>Note 2 Required for competencies achieved post 5th October 2022. Also a requirement if competency units have been completed under UEE42620 during the transition period to 5th October 2022. Not a requirement for competencies achieved prior to UEE42620.</p>	31/12/2003
24	Regulation – Section 20(2)	Persons carrying out work on extra low voltage systems associated with mobile plant for an underground coal mine	<p>UEENEEM080A¹ (previously UEENEEM001B, UTENES010A), UEEHA0004² (previously UEENEEM019A, UEENEEM002B, UTENES012A), UEEHA0003² with endorsements, where applicable, relevant to the explosion protection techniques at the coal mine.</p> <p>Note 1 Not a requirement for competencies achieved post 5th October 2022. Also not required if all hazardous area competency units have been completed under UEE42620 during the transition period to 5th October 2022.</p> <p>Note 2 Required for competencies achieved post 5th October 2022. Also a requirement if competency units have been completed under UEE42620 during the transition period to 5th October 2022. Not a requirement for competencies achieved prior to UEE42620.</p>	31/12/2003

No.	Act/Regulation	Position	Required competency	Required from
25	Regulation – Sections 71, 260(2)(b) & 371(sch 7(5))	Persons inspecting, testing and maintaining diesel engine systems	RIIDES301D, RIIDES302D, RIIDES303D, RIIDES304D, RIIDES305D, RIIDES306D, RIIDES307D	01/01/2014
26	Regulation – Sections 66, 71, 260(2)(h) & 371(sch 7(5))	Persons analysing and evaluating light vehicle braking systems	AURLTB002 (previously AURLTB5002) with Range of Variable endorsements, where applicable, relating to the braking testing techniques and equipment used at the coal mine.	01/01/2014
27	Regulation – Sections 66, 71, 260(2)(h) & 371(sch 7(5))	Persons analysing and evaluating wheeled mobile plant braking systems	AURKTB002 (previously AURKTB5002, AURT572593A) with Range of Variable endorsements, where applicable, relating to the braking testing techniques and equipment used at the coal mine.	01/01/2014
28	Regulation – Sections 66, 71, 260(2)(h) & 371(sch 7(5))	Persons analysing and evaluating heavy vehicle braking systems	AURHTB005 (previously AURHTB5005) with Range of Variable endorsements, where applicable, relating to the braking testing techniques and equipment used at the coal mine.	01/01/2014
29	Regulation – section 371 (sch 7(9))	Persons carrying out respirable dust sampling at a coal mine in accordance with AS 2985	<ol style="list-style-type: none"> 1. BSBWHS419 (previously BSBWHS409) delivered by SIMTARS or competency based training equivalent to BSBWHS419 (previously BSBWHS409) delivered by Coal Services NSW and 2. competency based training delivered by SIMTARS or Coal Services NSW that addresses each of the following: <ul style="list-style-type: none"> • sampling to AS 2985—2009 Australian standard: workplace atmospheres—method for sampling and gravimetric determination of respirable dust • appropriate data to be collected during sampling, including observations • use and applications of real-time monitors • use and application of an anemometer • dust observation methods and best practices for underground mines • dust observation methods and best practices for surface mines 	01/07/2017

Notes on qualifications and competency standards

Equivalent qualifications: Inherent in Section 55 of the *Coal Mining Safety and Health Act 1999* is the obligation on the site senior executive to ensure that there is an auditable system to determine that other qualifications adopted, where allowed by the Committee, are equivalent to those recognised by the Committee.

Applicable Units of Competency, Training Packages

RIIRIS301D Apply risk management processes, (previously RIIRIS301B, RIIRIS301A, MNCG1001A or QMS1) and equivalent, RIIWHS301D Conduct safety and health investigations, (previously RIIOHS301A, MNCG1008A or QMS2) and equivalent, and RIICOM301D Communicate information, (previously RIICOM301B, RIICOM301A, MNCG1009A or QMS3) and equivalent.

General, all operations –

- RIIRIS201D Conduct local risk control (Previously RIIRIS201B, RIIRIS201A, MNCC1006B, MNCC1006A or MNC.C6.A)
- RIIERR201D Conduct fire team operations (previously RIIERR201A, MNCG1005A or MNC.G5.A)
- RIIRIS402D Carry out the risk management process (previously RIIRIS402A, MNCG1002B, MNCG1002A)
- RIIRIS601D Establish and maintain the risk management system (previously RIIRIS601A, MNCG1003A)
- MINE7033 Minerals Industry Risk Management
- GMIRM Global Minerals Industry Risk Management
- MINE7041 Mine Occupational Health and Safety Management
- RIIWHS601D Establish and maintain the OHS management system (previously RIIOHS601A, MNCG1107A)
- BSZ98 Business services national training package
- TAA04 Training and assessment training package
- TAE10 Training and assessment
- TAE16 Training and assessment
- 10235NAT Field-based training and assessment
- MNC98 National coal industry training package
- MNC04 Coal Training Package
- RII09 Resource and Infrastructure Industry Training Package
- RII Resource and Infrastructure Industry Training Package
- MNC50404 Diploma of Mine Electrical Engineering
- AURLTB002 Analyse and evaluate faults in light vehicle braking systems (previously AURLTB5002)
- AURKTB002 Analyse and evaluate faults in wheeled mobile plant braking systems (previously AURKTB5002, AURT 572593A)
- AURHTB005 Analyse and evaluate faults in heavy vehicle braking systems (previously AURHTB5005)

Underground operations –

- RIIBLA302D Conduct shotfiring operations in underground coal mines (previously RIIBLA302A, MNCU1048B, MNCU1048A or MNC.U48.A)
- RIIBLA202D Support underground shotfiring operations, (previously RIIBLA202B, RIIBLA202A, MNCU1049B, MNCU1049A or MNC.U49.A,)
- RIIDES301D Inspect, test and maintain diesel engines and their ancillary systems
- RIIDES302D Inspect, test and maintain joints on diesel engine systems
- RIIDES303D inspect, test and maintain cooling systems on diesel engine systems
- RIIDES304D Inspect, test and maintain inlet systems on diesel engine systems
- RIIDES305D Inspect, test and maintain exhaust systems on diesel engine systems
- RIIDES306D Inspect, test and maintain safety shutdown systems on diesel engine systems
- RIIDES307D Test, determine the cause and rectify excessive emission levels on diesel engine systems
- RIIUND301D Operate manual winder
- RIIUND603D Manage, operate and maintain the mine ventilation system (previously RIIUND603A, MNCU1109A or MNC.U109.A)

Surface (open cut) operations –

- RIIBLA301D = Conduct surface shotfiring operations (previously RIIBLA301A, MNCO1040B, MNCO1040A or MNC.O40.A or)
- RIIBLA201D = Support shotfiring operations (previously RIIBLA201A, MNCO1041B, MNCO1041A or MNC.O41.A)

Information on training packages, providers and competency standards is available from <http://www.training.gov.au>.

Updates:

- 6 June 2023—minor amendments to update competency codes for underground ventilation officer; (row 14), underground assistant shotfirer (row 18), and persons in charge of winders (row 19) competencies.
- 10 March 2023—amendment to site senior executive competency to add experience requirements; row 8
- 16 January 2023
 - amendment to Training Designers, Developers or Deliverers and persons managing and implementing mines training schemes competency; row 20
 - amendment to Training Assessors competency; row 21
- 8 August 2022—amendment to Open Cut Examiner competency; row 5
- 19 November 2021
 - amendment to Underground Electrical Engineering Manager competency; row 9
 - amendment to Surface Electrical Engineering Manager; row 10
 - amendment to Training Designers, Developers or Deliverers and persons managing and implementing mines training schemes competency; row 20
 - amendment to Training Assessors competency; row 21
 - amendment to Persons repairing, overhauling and maintaining electrical equipment for explosive atmospheres at a coal mine competency; row 23
 - amendment to Persons carrying out work on extra low voltage systems associated with mobile plant for an underground coal mine competency; row 24
- 1 July 2021
 - amendment to Open Cut Examiner competency with the addition of RIIENV301E; row 5
 - amendment to SSE competency with the addition of RIIWHS601E; row 8

- 14 July 2020—amendments to competencies for underground ventilation officers; row 14
- 22 January 2018
 - amendments and additions to positions and competencies; rows 20 and 21
 - Coal Mining Safety and Health Regulation 2001 superseded by Coal Mining Safety and Health Regulation 2017
- March 2017
 - updated units of competency for Underground Electrical Engineering Manager and Surface Electrical Engineering Manager; rows 9 and 10,
 - amendments to requirements for Person in charge of winders; row 19, and
 - updated units of competency for analysing and evaluating braking systems; rows 26, 27 and 28
 - update of the wording of the competency for person carrying out respirable dust sampling at a coal mine in accordance with AS 2985; row 29
- December 2016—addition of competencies for person carrying out respirable dust sampling at a coal mine in accordance with AS 2985; row 29
- April 2016—amendments to requirements for Person in charge of winders; row 19, and minor changes to text in rows 9, 10, 23 and 24
- August 2015—updated units of competency from RII09 to RII training package and updated requirements for certifying mine survey plans; row 16
- 10 October 2014—amendments to requirements for certifying mine survey plans; row 16
- 12 December 2013—amendments to competencies on diesel engine systems and braking systems; rows 26, 27 and 28
- 9 September 2013—amendments to competencies on diesel engine systems and braking systems; rows 26, 27 and 28
- 25 July 2013—addition of competencies on diesel engine systems and braking systems; rows 25 and 26
- 7 December 2011—addition of updated competency suite for trainers and training assessors; rows 20 and 21
- 14 November 2011—update to requirements for Site Senior Executives; row 8, and those certifying mine survey plans; row 16
- 14 December 2009—amalgamation of several training packages into 'RII09 Resources and Infrastructure Industry Training Package'